

REQUEST FOR EXEMPTION FROM COMPLIANCE WITH CONTRACT PROCEDURE RULES (CONTRACT PROCEDURE RULE 3)

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| Authorisation Log Number (to be completed by Internal Audit): | 211 |
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Exemptions can be approved as follows:

- >£5k, < £25k Head of Service (eg only one supplier)
- >£25k, < £75k Chief Officer
- >£75k.< EU thresholds Chief Finance Officer and relevant Cabinet Member

NO EXEMPTION CAN BE USED IF THE EU PROCEDURE APPLIES

Application For Exemption Under Contract Procedure Rule 3:

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|--------------------|---------------------------|-----------------------------------|--|
| Directorate | Operations | | |
| Section | Infrastructure & Projects | | |
| Authorised Officer | Name | S.J.Baldwin | Signature  |
| | Date | 21/11/16 | |
| | Tel No | 01633 644713 | |
| | Email Address | stevebaldwin@monmouthshire.gov.uk | |
| Head of Service | Name | Signature | Date |
| | | | |

Nature of Works / Services / Goods / Materials to be Performed:

Failure of a the A466 just south of Tintern, close to Livox quarry, Monmouthshire has occurred which led to the closure of one lane and the implementation of traffic management measures. The road at this location has recently been reconstructed using reinforced earth and asphalt techniques to allow the road to be reopened for two-way traffic until more permanent works can be undertaken.

The long term solution is to realign the road into the hillside and Monmouthshire require Parsons Brinkerhoff to undertake suitable Geotechnical Investigation to allow the design of the highway and associated slope stability works on excavated cut slopes.

Please outline below a summary of the grounds for the exemption sought (Please specify WHICH Contract Procedure Rule you are seeking to receive exemption for and WHY)

Please ensure that a written report is attached to this form giving the full details of the contract and the reasons for the exemption(s) applied for.

Exemption from procedure rule 8.3 is required as only one quote was obtained.

For the past few years we have been using Parsons Brinkerhoff as part of the Consultancy Framework Service. We have been using there Geotechnical services for a number of issues on the A466 and we have done various bits of investigation and repair works of which they have detailed knowledge. We have recently completed repairs near to the old Livox Quarry entrance but at some point we may require the road to be realigned and we are in the process of undertaking further investigations to allow this to be investigated. As this framework has now ended for future works we will

be using the National Procurement Service. There is a direct award option up to £25K but as the proposed investigation works are £57K and we would like continuity on this occasion we would like to request an exemption from compliance.

(Continue overleaf if required)

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| Contract Start Date (if known): | 5 th December 2016 |
| Contract Duration: | 8 months |
| Site Address (if applicable): | A466 Nr Livox Quarry Entrance |
| Estimated Contract Start Date: | |
| Actual Contract Value (if known) or Estimated Contract Value | £57,940 |

Authorisation to grant Exemption from Contract Procedure Rules (as detailed above)

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| <p>Head of Service (>£5k, < £25k) or Chief Officer (>£25k, < £75k)</p> <p>Agreed: YES / NO</p> <p>Name Signature Date</p> <p><i>[Signature]</i> <i>[Signature]</i> 24/01/18</p> <p>(Comments can be endorsed overleaf if required)</p> | <p>Where value exceeds £75,000: Section 151 Officer and Cabinet Member</p> <p>Agreed: YES / NO</p> <p>Name Signature Date</p> <p> </p> <p>(Comments can be endorsed overleaf if required)</p> |
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Please outline below the grounds for exemption sought (Please specify WHICH Contract Procedure Rule you are seeking to receive exemption for and WHY)

Additional Comments from Head of Service / Chief Officer / Section 151 Officer or Cabinet Member

PLEASE RETURN THE COMPLETED AUTHORISED FORM TO THE CHIEF INTERNAL AUDITOR, INTERNAL AUDIT SERVICES, MAGOR

andrewwathan@monmouthshire.gov.uk